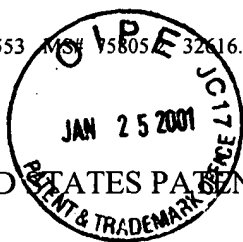


#10 2756  
2152

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Helland et al.

Art Unit: 2756


Application No. 09/425,102

Filed: October 22, 1999

CERTIFICATE OF MAILING

For: SOFTWARE COMPONENT EXECUTION  
MANAGEMENT USING CONTEXT OBJECTS  
FOR TRACKING EXTERNALLY-DEFINED  
INTRINSIC PROPERTIES OF EXECUTING  
SOFTWARE COMPONENTS WITHIN AN  
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I hereby certify that this paper and the documents referred  
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Attorney for Applicant

Examiner: Not assigned

Date: January 19, 2001

INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. § 1.97(b)(3)

TO THE COMMISSIONER FOR PATENTS  
Washington, DC 20231

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Sir:

Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language documents. Applicants respectfully request that these documents be listed as references cited on the issued patent.

Applicants filed this Information Disclosure Statement before the mailing date of a first Office action on the merits. However, if the Patent Office determines that a fee is required for Applicants to file this Information Disclosure Statement, please charge any such fees, or credit overpayment, to Deposit Account No. 02-4550. A **duplicate** copy of this Information Disclosure Statement is enclosed.

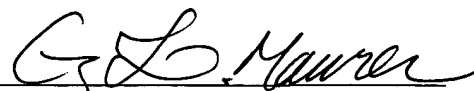
PATENT



Please return the enclosed postcard to confirm that the items listed above have been received.


Respectfully submitted,

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cc: Docketing  
Client

<b>INFORMATION DISCLOSURE STATEMENT</b>  <b>BY APPLICANT</b>				Docket: 3382-53553		App: 09/425,102	
				Applicant: Helland et al.			
				Filed: October 22, 1999		Art Unit: 2756	
 <b>PATENT DOCUMENTS</b>							
Init.*		Number	Date	Name	Class	Sub	Filed
		5,890,161	3/30/1999	Helland et al.			
					<b>RECEIVED</b>		
					JAN 29 2001		
					Technology Center 2100		
<b>OTHER DOCUMENTS</b>							
			Bernstein and Newcomer, "Database System Recovery," Principles of Transaction Processing for the Systems Professional, Morgan Kaufmann Publishers, Inc., San Francisco, CA, chapter 8, pages 241-266 (January 1997)				
			Gray & Reuter, "Log Manager," Transaction Processing: Concepts and Techniques, Morgan Kaufmann Publishers, San Francisco, CA, chapter 9, pages 493-525 (1993)				
			Gray & Reuter, The Role of a Compensating Transaction, <i>Transaction Processing: Concepts and Techniques</i> , pages 204-205 (1993)				
			Tucker, Jr. (Editor), Concurrency Control and Recovery, <i>The Computer Science and Engineering Handbook</i> , pages 1058-1077 (December 1996)				
EXAMINER:				DATE			
*Examiner: Initial if considered, whether or not in conformance with MPEP 60; draw line through cite if not in conformance and not considered. Send copy.							